



**CLIPPER  
FUND**<sup>SM</sup>

# Request for Transfer of Assets or Direct Rollover



Use this form when transferring an IRA, a 403(b) or when rolling over an employer sponsored retirement plan to a Clipper IRA.

- To expedite your request please contact your current Trustee, Custodian or Employer to ensure all necessary forms are submitted, including a copy of your most recent statement.
- For additional information, please call a Customer Service Representative at 800-432-2504.
- Sign and mail your completed TOA form (along with any current Custodian or Employer required forms) and a new Clipper IRA application if you do not already have a Clipper account to: Clipper Fund, P.O. Box 55468, Boston, MA 02205-5468. For overnight delivery: Clipper Fund, 30 Dan Road, Canton, MA 02021.

## A. ACCOUNT REGISTRATION – Please type or print clearly.

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ + 4

Daytime Telephone Number \_\_\_\_\_ Social Security Number \_\_\_\_\_

## B. ASSETS ARE MOVING FROM THIS ACCOUNT – Please attach a copy of your most recent statement.

Name of Custodian \_\_\_\_\_

Address of Custodian \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ + 4

Telephone Number of Custodian \_\_\_\_\_

## C. INSTRUCTIONS TO DELIVERING TRUSTEE/CUSTODIAN – Please select one.

From my current plan type:

- Traditional     ROTH     SEP     SIMPLE     403(b)     Other Employer Retirement Plan

### TRANSFER/ROLLOVER INSTRUCTIONS

**Option 1 – Liquidate – Choose this option if you are not currently invested in the Clipper Fund.**

Please liquidate the account(s) listed below and issue check payable to the CLIPPER FUND.

Name of Mutual Fund or Investment Transferring From	Account Number	Amount	or	Percentage
_____	_____	\$ _____		_____ %
_____	_____	\$ _____		_____ %
_____	_____	\$ _____		_____ %
_____	_____	\$ _____		_____ %

If you are liquidating from a Certificate of Deposit (CD):

Amount to liquidate:     All                       Partial Liquidation of \$ \_\_\_\_\_

When to liquidate:     Immediately     At Maturity\*

*\*Please send completed paperwork to Clipper Fund 30 days prior to maturity date.*

**Option 2 – Transfer my existing Clipper Fund – Choose this option if you currently own and are trying to transfer/rollover shares in Clipper Fund “in kind”.** A transfer/rollover “in kind” is a movement of currently owned Clipper Fund shares from one custodian to the Clipper Fund without liquidating the shares. Please complete Section D.

**D. ASSETS ARE MOVING TO THIS CLIPPER ACCOUNT**

Traditional     ROTH     SEP

New Clipper Account or  Existing Account

\_\_\_\_\_

**1 2 6**

Fund No.

\_\_\_\_\_  
Shareholder Signature

\_\_\_\_\_  
Date

Note: The current Trustee/Custodian may require your signature to be medallion guaranteed. Call that institution for their requirements.

Medallion Guarantee:

\_\_\_\_\_

**A Medallion Guarantee may NOT be obtained through notary public.**

**Do Not Complete this Section**

Instructions for delivery to the  
Clipper Fund IRA account.

**Letter of Acceptance** - UMB Bank hereby accepts custodianship for the IRA, or 403(b) of the above named individual. Please transfer on a custodian to custodian basis, all or part of the designated account as instructed in Section 3 and send the check payable to "Clipper Fund" with a copy of this request.

\_\_\_\_\_  
Fund Name

Return to:    Clipper Fund  
                  P.O. Box 55468  
                  Boston, MA 02205-5468

\_\_\_\_\_  
Account Number

Accepted by:

\_\_\_\_\_  
FBO

\_\_\_\_\_  
Date