



Request for Transfer of Assets or Direct Rollover

Investor Services 800-432-2504

TOA

- To expedite your request please contact your current Custodian or Employer to ensure all necessary forms are submitted, including a copy of your most recent statement.
- Sign and mail your completed TOA form along with any current Custodian's or Employer's required forms and a new Clipper IRA application (if you do not already have a Clipper IRA account) to: **Clipper Fund, PO Box 219167, Kansas City, MO 64121-9167.** For overnight mail: **Clipper Fund, 430 W 7th Street, Suite 219167, Kansas City, MO 64105-1407.**

A. ACCOUNT REGISTRATION – Please type or print clearly.

Name																			
Address																			
City												State		Zip Code				+ 4	
Daytime Telephone Number								Social Security Number											

B. ASSETS ARE MOVING FROM THIS ACCOUNT – Please attach a copy of your most recent statement.

Name of Custodian																			
Address of Custodian																			
City												State		Zip Code				+ 4	
Telephone Number of Custodian																			

C. INSTRUCTIONS TO DELIVERING TRUSTEE/CUSTODIAN – Please select one.

From my current plan type:

- ☐ Traditional ☐ ROTH ☐ SEP ☐ SIMPLE ☐ 403(b) ☐ Other Employer Retirement Plan

TRANSFER/ROLLOVER INSTRUCTIONS

- ☐ **Option 1 – Liquidate – Choose this option if you are not currently invested in the Clipper Fund.**

Please liquidate the account(s) listed below and issue check payable to the CLIPPER FUND.

Name of Mutual Fund or Investment Transferring From	Account Number	Amount	or	Percentage
		\$ _____		_____ %
		\$ _____		_____ %
		\$ _____		_____ %
		\$ _____		_____ %

If you are liquidating from a Certificate of Deposit (CD):

Amount to liquidate: ☐ All ☐ Partial Liquidation of \$ _____

When to liquidate: ☐ Immediately ☐ At Maturity*

**Please send completed paperwork to Clipper Fund 30 days prior to maturity date.*

- ☐ **Option 2 – Transfer my existing Clipper Fund – Choose this option if you currently own and are trying to transfer/rollover shares in Clipper Fund “in kind”.** A transfer/rollover “in kind” is a movement of currently owned Clipper Fund shares from one custodian to the Clipper Fund without liquidating the shares. Please complete Section D.

D. ASSETS ARE MOVING TO THIS CLIPPER ACCOUNT

☐ Traditional ☐ ROTH ☐ SEP

☐ New Clipper Account or ☐ Existing Account

1 2 6
Fund No.

Shareholder Signature

Date

Note: The current Trustee/Custodian may require your signature to be medallion guaranteed. Call that institution for their requirements.

Medallion Guarantee:

A Medallion Guarantee may NOT be obtained through notary public.

Do Not Complete this Section

Instructions for delivery to the
Clipper Fund IRA account.

Letter of Acceptance - UMB Bank hereby accepts custodianship for the IRA, or 403(b) of the above named individual. Please transfer on a custodian to custodian basis, all or part of the designated account as instructed in Section 3 and send the check payable to "Clipper Fund" with a copy of this request.

Fund Name

Account Number

FBO

Return to: **Clipper Fund**
PO Box 219167
Kansas City, MO 64121-9167

Accepted by:

Date

For overnight mail:
Clipper Fund
430 W 7th Street, Suite 219167
Kansas City, MO 64105-1407