



## BROKER Change of Dealer Information Form

REG MAIL: Clipper Fund, PO Box 219167, Kansas City, MO 64121-9167.

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### BRANCH ADDRESS CHANGE

OLD BRANCH OPERATING ADDRESS: \_\_\_\_\_

Broker / Dealer Firm Name: \_\_\_\_\_ Branch Number / Code: \_\_\_\_\_

Branch Address: \_\_\_\_\_  This Branch address is closing permanently.

Branch Address: \_\_\_\_\_ Phone Number: ( ) \_\_\_\_\_ - \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

### NEW BRANCH OPERATING ADDRESS:

Branch Address: \_\_\_\_\_ Branch Code/s: \_\_\_\_\_

Branch Address: \_\_\_\_\_ Phone Number: ( ) \_\_\_\_\_ - \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

MARKETING CORRESPONDENCE ADDRESS:  Send Marketing correspondence to different address than designated above.

Representatives & Rep codes for this address: \_\_\_\_\_

Broker Dealer Firm Name: \_\_\_\_\_

Branch Address: \_\_\_\_\_ Branch Code/s: \_\_\_\_\_

Branch Address: \_\_\_\_\_ Phone Number: ( ) \_\_\_\_\_ - \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Representative E-Mail address: \_\_\_\_\_

### REASSIGN ACCOUNTS

Account Reassignment Reason: 1.  Rep/s retiring or terminated. 2.  New Rep code allocation. 3.  New split-Rep code 4.  Other

Please **reassign ALL accounts** for the following Firm Branch & Representative code to a new Firm Branch & Representative code.

OLD REP/S: \_\_\_\_\_ NEW GAINING REP/S: \_\_\_\_\_

OLD REP CODE: \_\_\_\_\_ NEW GAINING REP CODE: \_\_\_\_\_

OLD BRANCH CODE/S: \_\_\_\_\_ NEW BRANCH CODE/S: \_\_\_\_\_

OLD ADDRESS: \_\_\_\_\_ NEW ADDRESS: \_\_\_\_\_

Cont.: \_\_\_\_\_ Cont.: \_\_\_\_\_

Please Change the Firm Representative and Representative code **for the following accounts only:**

FUND	ACCOUNT NUMBER	FUND	ACCOUNT NUMBER	FUND	ACCOUNT NUMBER
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

### SIGNATURE

### CAPACITY

### DATE

### PHONE

X \_\_\_\_\_ ( ) \_\_\_\_\_ - \_\_\_\_\_

X \_\_\_\_\_ ( ) \_\_\_\_\_ - \_\_\_\_\_