



Request for Transfer of Assets or Direct Rollover Investor Services 800-432-2504



- To expedite your request please contact your current Custodian or Employer to ensure all necessary forms are submitted, including a copy of your most recent statement.
- Sign and mail your completed TOA form along with any current Custodian's or Employer's required forms and a new Clipper IRA application (if you do not already have a Clipper IRA account) to: **Clipper Fund, PO Box 219167, Kansas City, MO 64121-9167.** For overnight mail: **Clipper Fund, 430 W 7th Street, Suite 219167, Kansas City, MO 64121-9167.**

A. ACCOUNT REGISTRATION – Please type or print clearly.

Name

Address

City State Zip Code + 4

Daytime Telephone Number Social Security Number

B. ASSETS ARE MOVING FROM THIS ACCOUNT – Please attach a copy of your most recent statement.

Name of Custodian

Address of Custodian

City State Zip Code + 4

Telephone Number of Custodian

C. INSTRUCTIONS TO DELIVERING TRUSTEE/CUSTODIAN – Please select one.

From my current plan type:
 Traditional ROTH SEP SIMPLE 403(b) Other Employer Retirement Plan

TRANSFER/ROLLOVER INSTRUCTIONS

Option 1 – Liquidate – Choose this option if you are not currently invested in the Clipper Fund.

Please liquidate the account(s) listed below and issue check payable to the CLIPPER FUND.

| Name of Mutual Fund or Investment Transferring From | Account Number | Amount | or | Percentage |
|---|----------------|----------|----|------------|
| | | \$ _____ | | _____ % |
| | | \$ _____ | | _____ % |
| | | \$ _____ | | _____ % |
| | | \$ _____ | | _____ % |

If you are liquidating from a Certificate of Deposit (CD):
 Amount to liquidate: All Partial Liquidation of \$ _____
 When to liquidate: Immediately At Maturity*

**Please send completed paperwork to Clipper Fund 30 days prior to maturity date.*

Option 2 – Transfer my existing Clipper Fund – Choose this option if you currently own and are trying to transfer/rollover shares in Clipper Fund “in kind”. A transfer/rollover “in kind” is a movement of currently owned Clipper Fund shares from one custodian to the Clipper Fund without liquidating the shares. Please complete Section D.

D. ASSETS ARE MOVING TO THIS CLIPPER ACCOUNT

Traditional ROTH SEP

New Clipper Account or Existing Account

1 2 6

Fund No.

Shareholder Signature

Date

Note: The current Trustee/Custodian may require your signature to be medallion guaranteed. Call that institution for their requirements.

Medallion Guarantee:

A Medallion Guarantee may NOT be obtained through notary public.

Do Not Complete this Section
Instructions for delivery to the
Clipper Fund IRA account.

Letter of Acceptance - UMB Bank hereby accepts custodianship for the IRA, or 403(b) of the above named individual. Please transfer on a custodian to custodian basis, all or part of the designated account as instructed in Section 3 and send the check payable to "Clipper Fund" with a copy of this request.

Fund Name

Return to: Clipper Fund
 P.O. Box 55468
 Boston, MA 02205-5468

Account Number

Accepted by:

FBO

Date